Form Approved REPORT DOCUMENTATION PAGE OMB No. 0704-0188 Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing date sources gathering and resintening the data needed, and completing and reviewing the collection of information. Send comments regarding this burden extends any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Discrovate for Information Operations and Reports, 1215 Aufferts Durch Highest, State 1204, Antington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503. 3. REPORT TYPE AND DATES COVERED 1. AGENCY USE ONLY (Leave blank) 2. REPORT DATE 10/15/97 Quarterly Status 7/16/97 - 10/15/97 5. FUNDING NUMBERS 4. TITLE AND SUBTITLE Al 9760400.1320 E495 Computational Models of Human Organization Dynamics P6S10 2525 DPAC 6 5219 Quarterly Status Report #2 503733 6. AUTHOR(S) Dr. Gregg Courand, Dr. Michael Fehling 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION REPORT NUMBER Synergia LLC 2400 Broadway, Ste 203 COD - 2 Redwood City, CA 94063-1551 9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) 10. SPONSORING / MONITORING AGENCY REPORT NUMBER DARPA / ISO 3701 N. Fairfax Dr. Arlington, VA 22203-1714 11. SUPPLEMENTARY NOTES 12a. DISTRIBUTION / AVAILABILITY STATEMENT 12b. DISTRIBUTION CODE Approved for public release; distribution is Α unlimited. 13. ABSTRACT (Maximum 200 words) 19980324 044 15. NUMBER OF PAGES 14. SUBJECT TERMS 4 16. PRICE CODE Organizational Dynamics 17. SECURITY CLASSIFICATION OF REPORT 20. LIMITATION OF ABSTRACT SECURITY CLASSIFICATION OF THIS SECURITY CLASSIFICATION OF ABSTRACT

Unclassified

Unclassified

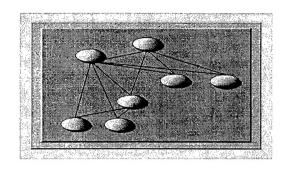
Unclassified

Synergia LLC

2400 Broadway, Suite 203 Redwood City, CA 94063-1551 Phone: (650) 569-4999

Fax: (650) 569-4990

World Wide Web: www.synergia.com



Computational Models of Human Organization Dynamics

Quarterly Report #2

Sponsored by
Defense Advanced Research Projects Agency
Information Systems Office
Computational Models of Human Organization Dynamics
ARPA Order No. E495
Program Code No. 6S10
Issued by DARPA/CMO under Contract #MDA972-97-C-0001

Period Covered: 7/16/97 – 10/15/97

Reporting Period

This is the second quarterly report for the project: Computational Models of Human Organization Dynamics. This report covers the period from 7/16/97 through 10/15/97.

Progress During Reporting Period

During this project period we have made significant progress on the specification and initial development of a suite of software technologies to enable analysts to define, validate, and explore the implications of models of organization dynamics. We divide these technologies into three tool suites, which we are beginning to implement in the form of interfaces. Taken together, these technologies will allow users to develop an understanding of an organization's dynamics based on the results of monte carlo simulations. Our conception of these three technology suites is as follows.

The mapper's interface suite will provide facilities for users to enter descriptions of joint practices and the agents that may engage in those practices. This information is described at three levels: raw data (from interviews or observations), descriptions of that data organized as practices of agents, and then formal specifications of the practices in terms of action propositions, that is, in terms of situation descriptions, procedures, and criteria which those procedures attempt to maintain or satisfy in those situations. The modeler's interface suite will provide facilities for the conversion of action propositions into computational form suitable for simulation, that is, into the form of action schemata. For example, criteria in action propositions are encoded as monitors that check situation data to determine whether a running procedure should be halted, suspended, or advised to adapt execution results to better satisfy the criteria. The procedure descriptions in action propositions are encoded as procedures, augmented with locations that monitors can cause jumps to (i.e., to advise by restarting computatation under new conditions). The analysis interface suite will support experimentation to validate models, projective studies to develop implications of validated models, and visualization and analysis of simulation results. Specifically, it allows a user to select a structure within the model to vary (an agent, an agent practice, or a component of a practice), identify the range of values/instantiations that the varied structure may take on, and define the measurements to be taken during simulation (the item/structure to measure, and the conditions under which it is measured). The analysis suite contains the execution management controls to set up and control monte-carlo simulation runs.

We have also begun to develop a realistic crisis scenario – a Noncombatant Evacuation Operation. This scenario will act as a guide to the development of tools, provide material for testing our lifecycle conception of organizational analysis, and support a project demonstration. A Pentagon planning expert, and a special operations expert, are reviewing/critiquing our scenario and helping us develop it.

Plans for Next Quarter

We plan to divide our emphasis between the continued development of the computational tools just described, and the development of the demonstration scenario.

We also anticipate holding a project review with Dr. Flank.

Equipment Purchases

There were no equipment purchases this quarter.

Personnel Matters

There have been no changes in the key personnel proposed for this project – Drs. Fehling and Courand.

Meetings, Important Exchanges and Decisions

There have been no meetings with DARPA representatives during the reporting period. We have made preliminary contact with senior staff of the EPA, for the Western U.S., and hope to explore crisis response with them.

Our emphasis as we began this project was on the development of a discrete-event simulation engine, suitable for running monte-carlo studies of organizational dynamics. Our research has revealed to us that this is not the most important goal to accomplish first. To this end, we now see our work as focusing on the methodology that surrounds monte-carlo simulation, and on the development of tools (our three interface suites) to support the methodology. Once these tools are better defined and prototyped, we will be able to define requirements and specifications for the simulation engine. To put this another way, the simulation engine should be optimized for the kinds of (psycho-social) model validation and exploration exercises we intend.

Problems

We have no problems to report at this time. We foresee no substantial risks to our ability to complete this project successfully, on time, and on budget.

Related Accomplishments

As we reported in the previous quarterly report, we continue to work in the municipal planning domain. We are also contracted to work on the design of a health care clinic.

These contracts provide tests of our theory, methods, and commitments as reflected in the tools we are developing for this project.

Fiscal Status

The table below summarizes the fiscal status for this contract and our projected spending over the next quarters.

Amount Currently Provided	\$374,813.	
Expenditures and Commitments to Date	\$225K	
Manhours Planned, Actual	Planned = 1050	Actual = 1523
Estimated Funds/Qtr to Complete Work	\$110K, \$40K	
Estimated Date of Completion	2/28/98	

Distribution of this Report

The following individuals/organizations comprise the distribution list for quarterly reports on this contract.

DARPA/ISO Attn: Dr. Steven Flank 3701 N. Fairfax Dr. Arlington, VA 22203-1714

Defense Technical Information Center Attn: OCC 8725 John J. Kingman Rd., Suite 0944 Ft. Belvoir, VA 22060-6218

DARPA/ISO Attn: Janice Pritchard 3701 N. Fairfax Dr. Arlington, VA 22203-1714